IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John Barthelow Class

Serial No.: 09/660,584 FEB 28 200

Filed: September 12,

For: METHOD AND COMPOSITION

FOR AN EARLY VACCINE...

Art Unit: 164

Examiner:

Washington, D.C

February 28, 2001

Docket No.: CLASSEN=1D

## INFORMATION DISCLOSURE STATEMENT [IDS]

Honorable Commissioner of Patents Washington, D.C. 20231

## Sir:

This Information Disclosure Statement is submitted in accordance with 37 C.F.R. 1.97, 1.98, and it is requested that the information set forth in this statement and in the listed documents be considered during the pendency of the above-identified application, and any other application relying on the filing date of the above-identified application or cross-referencing it as a related application.

- 1. This IDS should be considered, in accordance with 37 C.F.R. 1.97, as it is filed:
- [ ] A. within three months of the filing date of the above-identified national application or within three months of the entry into the national stage of the above-identified international application. See 37 CFR 1.97(b).
- [X] B. before the mailing date of a first office action on the merits. See 37 CFR 1.97(b).
- [ ] C. after (A) and (B) above, but before final rejection or allowance, and Applicants have made the necessary certification (box "i" below) or paid the necessary fee (box "i" below). See 37 CFR 1.97(c).
  - [ ] i. Counsel certifies that, upon information and belief, each item of information listed herein was either (a) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of

this IDS or (b) was not cited in a communication from a foreign patent office in a counterpart foreign application and was not known to any individual designated in 1.56(c) more than three months prior to the filing of this IDS.

- [] ii. A check for the fee set forth in 1.17(p), presently believed to be \$180, is enclosed (check no. ).
- [ ] E. As a submission in accordance with the transitional procedure for limited examination after final rejection pursuant to 37 CFR \$1.129(a). Pursuant to MPEP \$706.07(g), page 700-46, col. 2 (February 2000), this IDS is treated as if filed with a period set forth in 37 CFR \$1.97(b) and considered without the petition and petition fee required by 1.97(d).
- 2. In accordance with 37 C.F.R. 1.98, this IDS includes a list (e.g., form PTO-1449) of all patents, publications, or other information submitted for consideration by the office, either incorporated into this IDS or as an attachment hereto. A copy of each document is attached, except as explained below.
  - [ ] A. Documents \_\_\_\_ are deemed

substantially cumulative to documents \_\_\_\_\_\_, and, in accordance with 1.98(c), only a copy of each of the latter documents is enclosed.

[X] B. Certain documents were previously cited by or submitted to the Office in the following prior application(s), which are relied upon under 35 U.S.C. 120:

08/591,651, filed February 12, 1996.

Applicants identify these documents by attaching hereto copies of the form PTO-892s and PTO-1449s from the files of the prior applications or a fresh PTO-1449 listing these documents, and request that they be considered and made of record in accordance with 1.98(d). Per 37 CFR 1.98(d), copies of these documents need not be filed in this application. If copies of any of these documents cannot be found in the files of the prior applications, the Examiner is requested to so notify counsel before taking action in this case, so replacement copies can be submitted. While an IDS filed under \$1.97 must contain a "list of all publications or other information submitted consideration by the Office", see \$1.98(a) (1), the only requirement for the list is that it provide the information set forth in \$1.98(b). There is no requirement that a form PTO-1449 be used (MPEP \$609 merely says that use of this form is "encouraged") and no prohibition on submitting a copy of a form PTO-1449 or form PTO-892 from a prior case. Indeed, the re-use of such forms is desirable as it avoids error in transferring the information, and evidences that the reference was considered in a prior application. A previously accepted PTO-1449, or an examiner-prepared PTO-892, necessarily complies with \$1.98(b).

- [ ] 3. Documents \_\_\_\_\_ are not in the English language. In accordance with 1.98(c), Applicants state:
  - documents \_\_\_\_\_ already contain an English language abstract, summary or claim set.
  - [ ] a publicly available abstract is attached to each of documents \_\_\_\_\_\_, and the source of each

|                | patent applications for which counterpart English    |
|----------------|--|
|                | language patents or patent applications exist, and   |
|                | are enclosed, as follows:                            |
|                | Foreign Lang. Doc.# English Lang. Doc.#              |
|                | [insert] [insert]                                    |
| [ ]            | applicants have prepared an English translation of   |
| •              | at least the pertinent portions of documents         |
|                | , and copies are attached.                           |
| [ ]            | A concise explanation of the relevance of            |
|                | documents is found in the attached                   |
|                | search report from the Patent Office                 |
|                | (see reply to Comment 68 in the preamble to the      |
|                | final rules; 1135 OG 13 at 20).                      |
| [ ]            | A concise explanation of the relevance of            |
|                | documents is set forth as follows:                   |
|                | [Insert concise explanation of relevance]            |
| 4.             | No explanation of relevance is necessary for         |
| documents in t | the English language (see reply to Comments 67 and   |
| 68 in the prea | amble to the final rules; 1135 OG 13 at 20).         |
| 5.             | Other information being provided for the examiner's  |
| consideration  | follows:   |
|                | [insert other information]                           |
| 6.             | In accordance with 37 C.F.R. 1.97(g) and (h), the    |
| filing of this | s IDS should not be construed as a representation    |
| that a search  | has been made or that information cited is, or is    |
| considered to  | be, material to patentability as defined in $\$1.56$ |
| (b), or that   | any cited document listed or attached is (or         |
| constitutes) p | orior art. Unless otherwise indicated, the date of   |

abstract is indicated thereon.

[ ] documents \_\_\_\_\_ are patents or published

to charge any additional fees which may be required in connection

publication indicated for an item is taken from the face of the item and Applicant reserves the right to prove that the date of

7. The Commissioner is hereby authorized and requested

publication is in fact different.

USSN - 09/660,584

with this application or credit any overpayment to Deposit Account No. 02-4035.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C. Attorneys for Applicant

By:

Iver P. coper Reg. No. 28,005

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| FORM PTO-14   | 49 U.S. DEPARTMENT OF COMMERCE ATTY  | DOCKET NO: CLASSEN=1A                                   | SERIAL NO: 08/591,651                |  |  |
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|   | coveral shoots if nordesarry   | ICANT: John B. CLASSEN                                  | <b>Y</b>                             |  |  |
|   |  | NG DATE: February 12, 1996                              | GROUP: 1642                          |  |  |
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| EXAMINER  |  | DATE CONSIDERED   |                                      |  |  |
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| FORM PTO-1449            |  | ATTY DOCKET NO: CLASSEN=1A   | SERIAL NO: 08/591,651                    |  |  |  |
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| T.TST DOC                | LIST DOCUMENTS CITED BY APPLICANT  APPLICANT: John B. Classen  |  |  |  |  |  |
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| L<br>(   | LIST DOCUMENTS CITED BY APPLICANT (Use several sheets if hepessary)   |   |                   |  |   |  |
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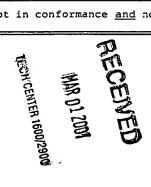
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